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<u>22 Feb. 2001</u>	<u>Richard C. Auchterlonie</u>
Date	Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Seyfullah H. Oguz, Sorin Faibish,  
Wayne W. Duso

Group Art Unit: 2621

Serial No.: 09/750,565

Confirmation No.: 6448

Examiner: Unknown

Filed: 12/28/00

Atty. Dkt. No.: EMCR:070/AUC

For: ADAPTIVE BIT RATE CONTROL FOR  
RATE REDUCTION OF MPEG CODED  
VIDEO



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SUBMISSION OF FORMAL DRAWINGS

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby submit the formal drawings (33 sheets) for the above-referenced application and request that these drawings be accepted for filing.

Respectfully submitted,

Richard C. Auchterlonie

Richard C. Auchterlonie  
Reg. No. 30,607

HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, Texas 77057-2198  
(713) 787-1400

Date: 22 Feb. 2001

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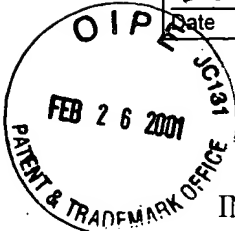
*Robert C. Anhalt*

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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Seyfullah H. Oguz

Sorin Faibish

Wayne W. Duso

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RATE REDUCTION OF MPEG CODED  
VIDEO

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
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Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red.

S/N 09/750,565

Because the error is not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is authorized to charge the fee to EMC Corporation Deposit Account No. 05-0889/EMC-00-174. Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from EMC Corporation Deposit Account No. 05-0889/EMC-00-174.

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Respectfully submitted,



Richard C. Auchterlonie  
Reg. No. 30,607

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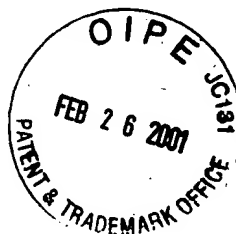
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/750,565	12/28/2000	2621	728	EMCR:070	34	21	3

CONFIRMATION NO. 6448

## FILING RECEIPT



\*OC000000005743003\*

 Richard C. Auchterlonie  
 Howrey Simon Arnold & White, LLP  
 750 Bering Drive  
 Houston, TX 77057-2198


Date Mailed: 02/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Seyfullah H. Oguz, Framingham, MA;  
 Sorin Faibish, Newton, MA;  
 Wayne W. Duso, Shrewsbury, MA;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/07/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Adaptive bit rate control for rate reduction of MPEG coded video

## Preliminary Class



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Bib Data Sheet

CONFIRMATION NO. 6448

<b>SERIAL NUMBER</b> 09/750,565	<b>FILING DATE</b> 12/28/2000 <b>RULE</b>	<b>CLASS</b> 382	<b>GROUP ART UNIT</b> 2621	<b>ATTORNEY DOCKET NO.</b> EMCR:070	
<b>APPLICANTS</b> Seyfullah H. Oguz, Framingham, MA; Sorin Faibish, Newton, MA; Wayne W. Duso, Shrewsbury, MA;					
<b>** CONTINUING DATA *****</b>					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/07/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> MA	<b>SHEETS DRAWING</b> 34	<b>TOTAL CLAIMS</b> 21	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> Richard C. Auchterlonie Howrey Simon Arnold & White, LLP 750 Bering Drive Houston, TX 77057-2198					
<b>TITLE</b> Adaptive bit rate control for rate reduction of MPEG coded video					
<b>FILING FEE RECEIVED</b> 728	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		



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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/750,565, Confirmation No. 6448	
	Filing Date	12/28/00	
	First Named Inventor	Seyfullah H. Oguz	
	Group Art Unit	2621	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	EMCR:070/AUC

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard C. Auchterlonie, Esq. Howrey Simon Arnold & White, LLP
Signature	<i>Richard C. Auchterlonie</i> Reg. 30,607
Date	22 Feb. 2001

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	<b>Filing Date</b>	12/28/00	
	<b>First Named Inventor</b>	Seyfullah H. Oguz	
	<b>Group Art Unit</b>	2621	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	35	<b>Attorney Docket Number</b>	EMCR:070/AUC

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<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard C. Auchterlonie, Esq. Howrey Simon Arnold & White, LLP
Signature	<i>Richard C. Auchterlonie</i> Reg. 30,607
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